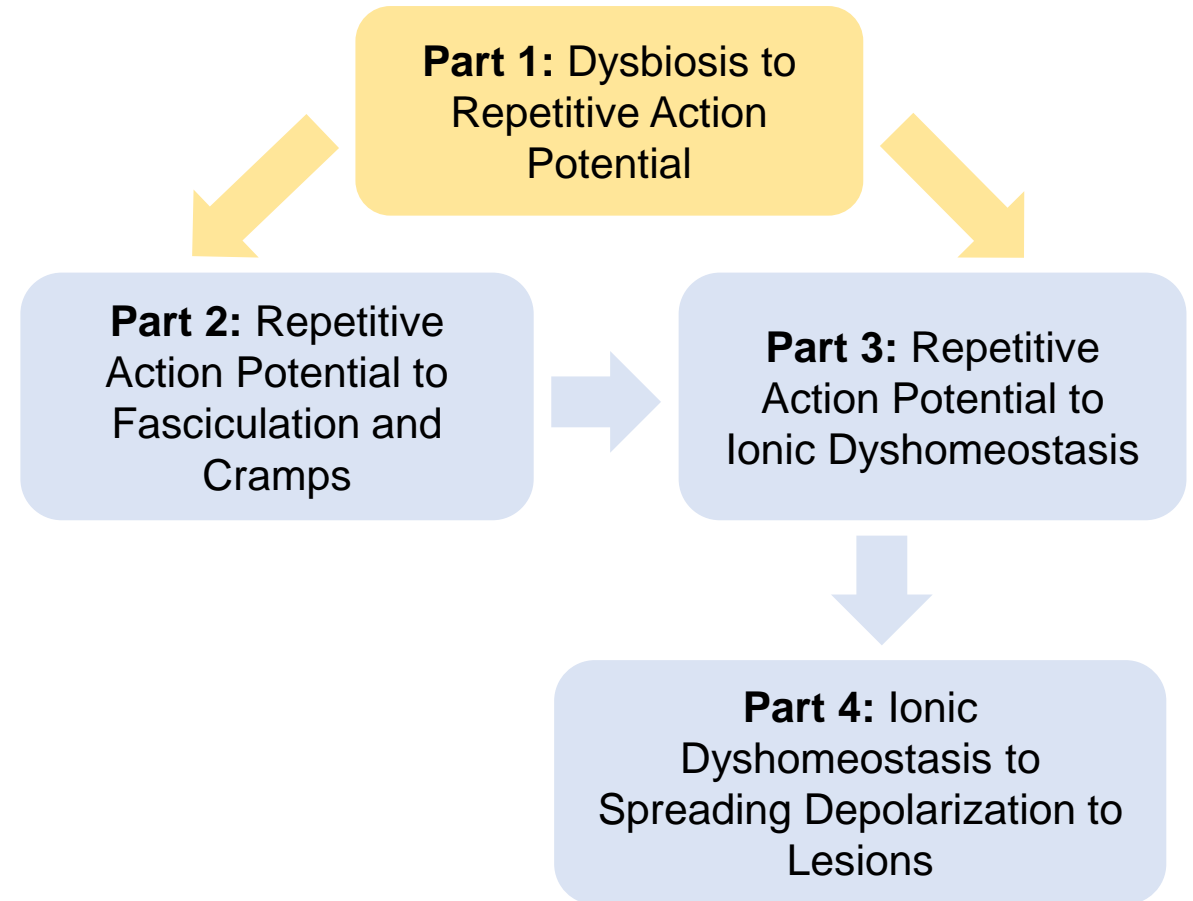


Proposed Cause of Earliest Stage Amyotrophic Lateral Sclerosis: Plausible Pathophysiology of ALS Involving Glutamate Toxicity and Ionic Dyshomeostasis

Prepared by: Victoria Liang, Senior
Scientist at New Biotic Inc.
April 28, 2021

Introduction

- ALS is a disease with unknown pathology
 - Many gene mutations have been linked to ALS, but fALS only accounts for <10% of ALS cases
 - It has been connected to glutamate toxicity for a long time, but entire picture has not been elucidated.
- There is currently no biomarker available for ALS
- Diagnosis delay is on average 16.4 months.
- Understanding the pathology is crucial to the development of biomarkers and treatments
- In this presentation, we propose a pathophysiology of ALS that starts with gut dysbiosis, glutamate toxicity, and ionic dyshomeostasis.
- This would suggest the onset of ALS may not require the loss of motor neurons or gene mutation.



1. Gut dysbiosis & impairment of gut microbiome (observed in ALS)

2. Unmetabolized glutamate enters circulation. Systemically high levels of serum glutamate found in ALS

3. Glutamate can cross the BBB via CVOs

4. Extracellular glutamate rises. (elevated ECS glutamate seen in ALS)

5. Excessive exogenous glutamate over excites global NMDAR (synaptic and axonal).

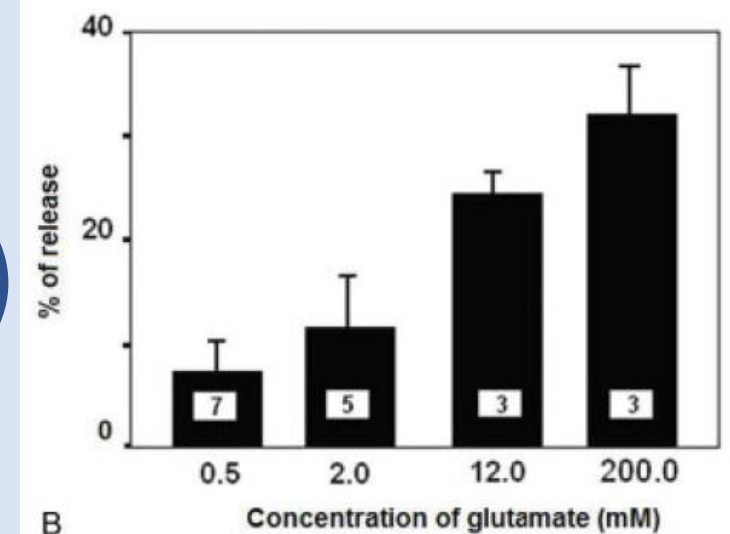
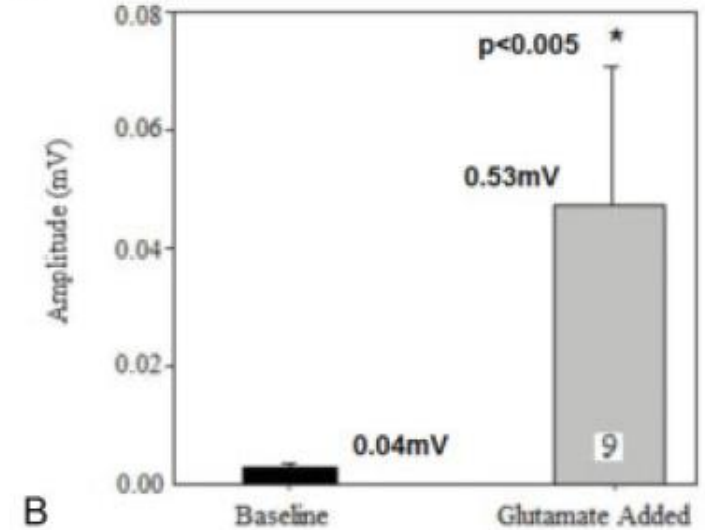
7. Initiation of **repetitive action potential** which causes further release of glutamate

6. Localized Mg^{2+} depletion that prevent inactivation of overexcited NMDAR.

8. Fasciculation (possible first symptom of ALS) and eventually cramps

9. Ionic dyshomeostasis

Traditionally, neurotransmitters are thought to communicate between pre- and post-synaptic terminal and produce an “all-or-nothing” action potential. However, it has been observed that axons can also release and receive neurotransmitter-like substances without the involvement of synapses. In an experiment on an *in vitro* sciatic nerve without a cell body or axon terminal, the addition of 100 μ M of glutamate produced compound action potential (CAP) for 10 to 75 minutes (Abouelela, 2016).



Part 1: Dysbiosis to Repetitive Action Potential

ALS = amyotrophic lateral sclerosis
BBB = blood brain barrier
CVOs = circumventricular organs
ECS = extracellular space
NBI = New Biotic Inc.

NMDAR = N-methyl-D-aspartate receptor

Motor cortex, UMN, SC, and LMN

1. Gut dysbiosis & impairment of gut microbiome (observed in ALS)

2. Unmetabolized glutamate enters circulation. Systemically high levels of serum glutamate found in ALS

3. Glutamate can cross the BBB via CVOs

4. Extracellular glutamate rises. (elevated ECS glutamate seen in ALS)

5. Repetitive Action Potential

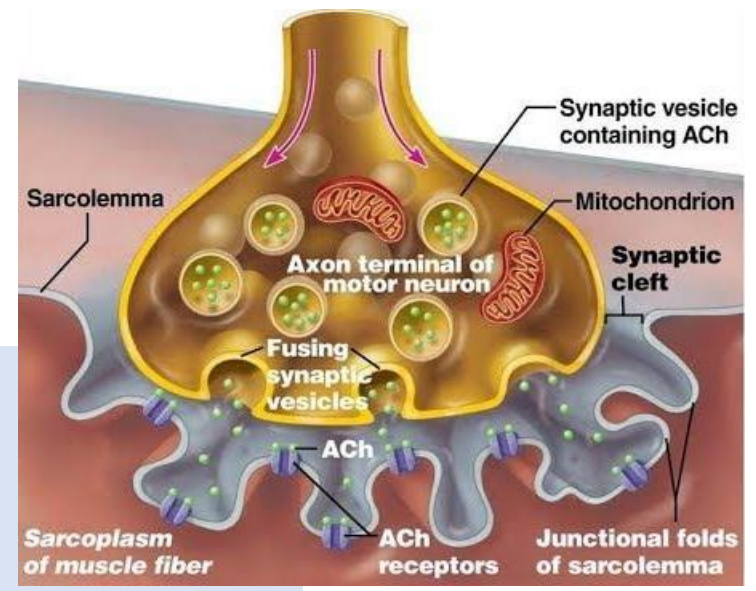
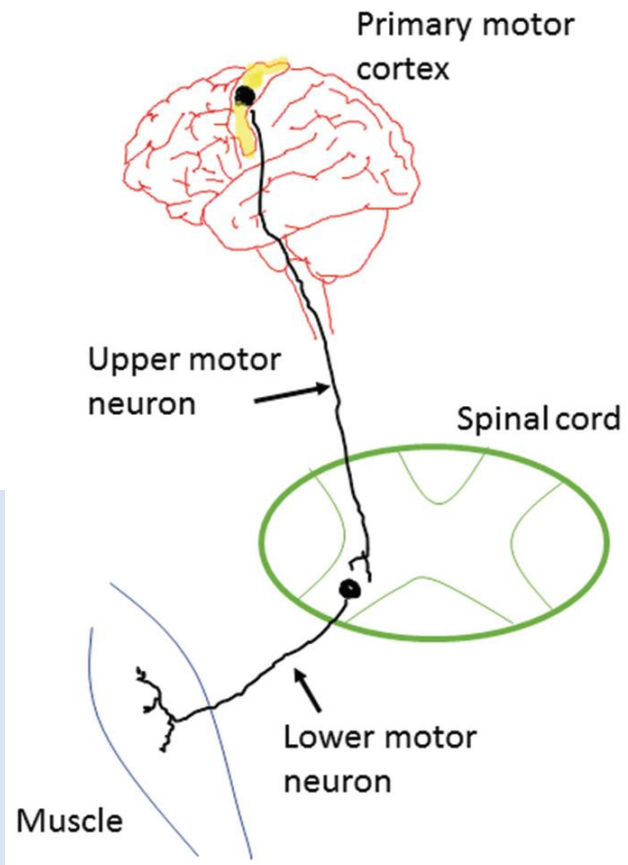
6. Repetitive release of glutamate from UMN & repetitive activation of LMN.

7. Repetitive release of ACh into the NMJ & repetitive repolarization

8. Fasciculation (first symptom of ALS)

Part 2a: Repetitive Action Potential to Fasciculation

ALS = amyotrophic lateral sclerosis
ACh = acetylcholine
BBB = blood brain barrier
CVOs = circumventricular organs
ECS = extracellular space
LMN = lower motor cortex
NBI = New Biotic Inc.
NMDAR = N-methyl-D-aspartate receptor
UMN = upper motor neuron



Motor cortex, UMN, SC, and LMN

1. Gut dysbiosis & impairment of gut microbiome (observed in ALS)

2. Unmetabolized glutamate enters circulation. Systemically high levels of serum glutamate found in ALS

3. Glutamate can cross the BBB via CVOs

One motor neuron on average innervates 150 muscle fibers. (Cuevas, 2015)

4. Extracellular glutamate rises. (elevated ECS glutamate seen in ALS)

5. Repetitive Action Potential (RAP)

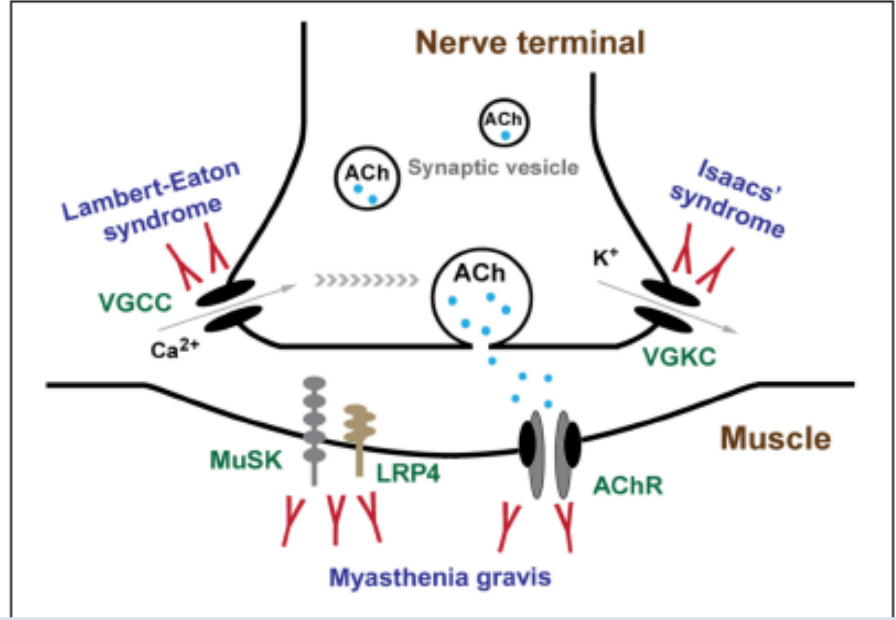
6. Repetitive release of glutamate from UMN and repetitive SC activates LMN.

7. Repetitive release of ACh into the NMJ & repetitive repolarization

8. Fasciculation (first symptom of ALS)

10. The deficiency of intracellular K⁺ would delay the repolarization of the axon terminal, thereby resulting in the redundant release of ACh.

11. Cramp and muscle stiffness ensues as muscle contraction cannot stop.



The efflux of K⁺ is needed to repolarize the axon terminal and halt the release of ACh (Huang, 2019), but RAP eventually causes low K⁺ conductance in axon terminal and may delay or prevent the repolarization.

Motor cortex, UMN, SC, and LMN

Part 2b: Fasciculation to cramp

ALS = amyotrophic lateral sclerosis
 ACh = acetylcholine
 BBB = blood brain barrier
 CVOs = circumventricular organs
 ECS = extracellular space
 LMN = lower motor cortex
 NBI = New Biotic Inc.
 NMDAR = N-methyl-D-aspartate receptor
 RAP = Repetitive Action Potential
 UMN = upper motor neuron

11. Cramp and muscle stiffness ensues as muscle contraction cannot stop.

12. **Hypermetabolism** (seen in ALS). Contraction of muscle requires high utilization of ATP for Na/K ATPase

13. Lipolysis and increased free fatty acid (seen in ALS). Free fatty acid can affect insulin's access to insulin-sensitive cells and may reduce insulin secretion.

14. Impaired glucose tolerance. (Higher plasma glucose and lower insulin in ALS vs control after oral glucose consumption. Another study found higher levels of fasting insulin and lower rates of glucose infusion in ALS)

Potential therapeutic target: CrHis. Cr³⁺ stabilizes insulin (Ulas, 2015), improves insulin sensitivity (Sahin, 2007) and encourages the translocation of GLUT-1 (Ulas, 2015).

ATP production progressively reduced in SOD1 mice. (Browne, 2006)

21. Chromium deficiency (seen in people with elevated blood glucose, has yet to be investigated in ALS)

15. It was found that in people with impaired glucose tolerance, the effect of insulin on brain metabolism is not maximal in fasting state. Therefore, more insulin is needed to achieve a maximal effect on the brain.

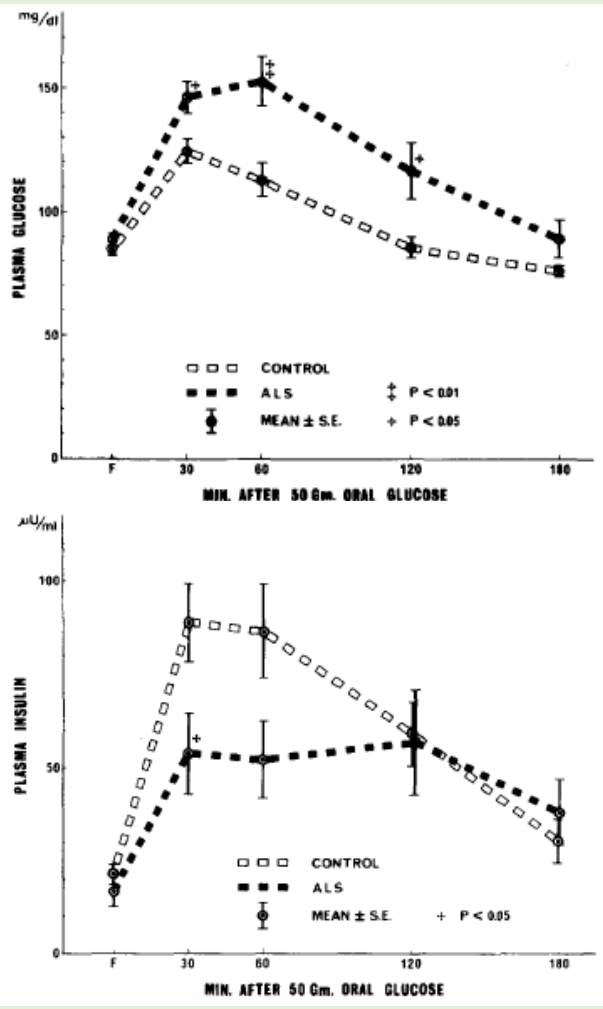
20. Inability of Na/K ATPase on neurons to maintain K⁺ homeostasis

19. Reduced ATP production.

16. It was observed that ALS patients have reduced CSF insulin and IGF-I

18. Reduced glucose uptake in the brain. (**Brain hypometabolism** in frontal, motor and occipital cortex has been seen in ALS)

17. As insulin and IGF-I are both needed to initiate the translocation of GLUT1 to the plasma membrane of astrocytes, reduced insulin and IGF-I results in less GLUT1 translocation



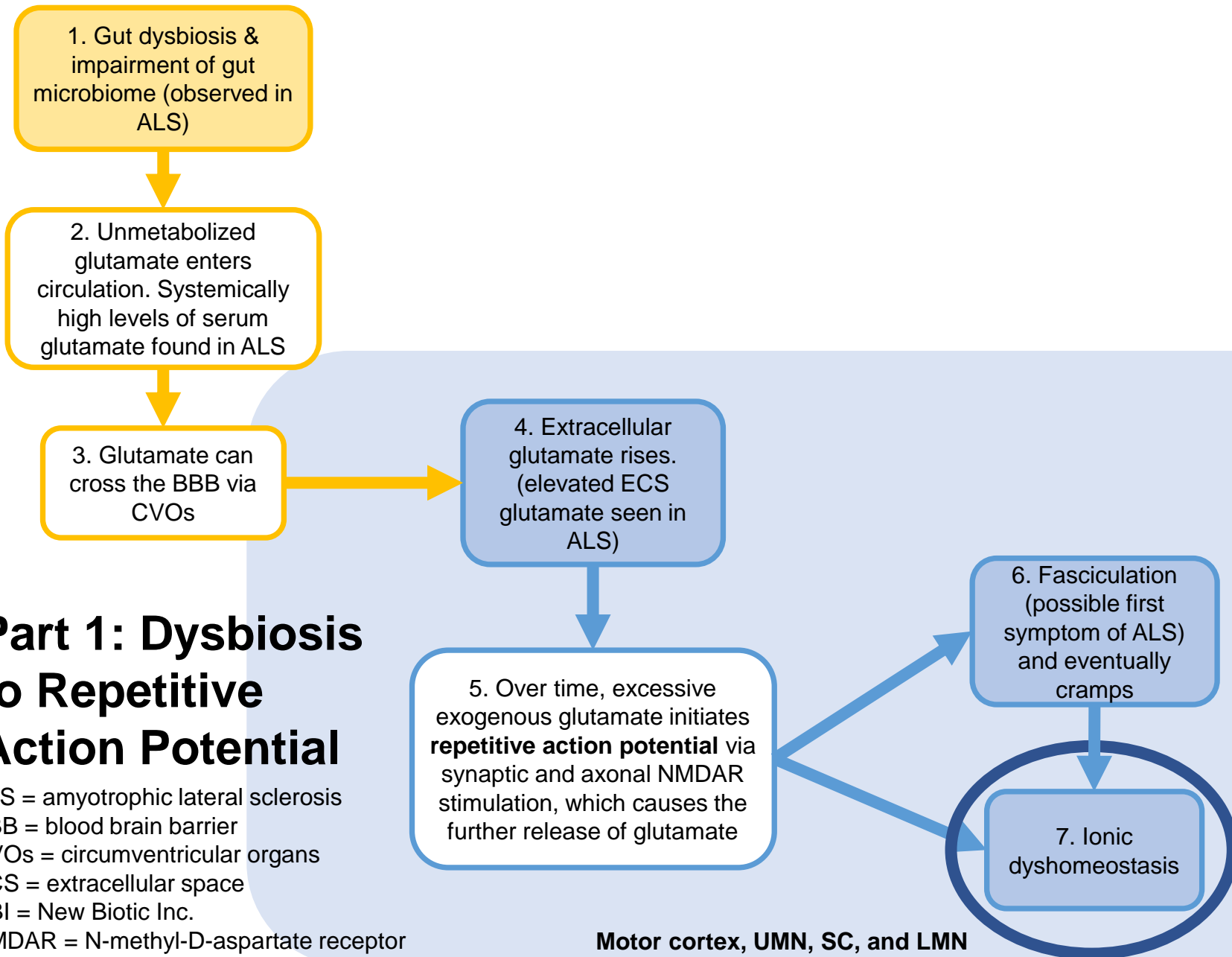
Part 2c: Cramp to Ionic Dyshomeostasis

ALS = amyotrophic lateral sclerosis
 CSF = cerebral spinal fluid
 CrHis = chromium histidinate
 GLUT1 = glucose transporter 1
 IGF-I = insulin-like growth factor I

Extracellular space

Neuron

Astrocyte

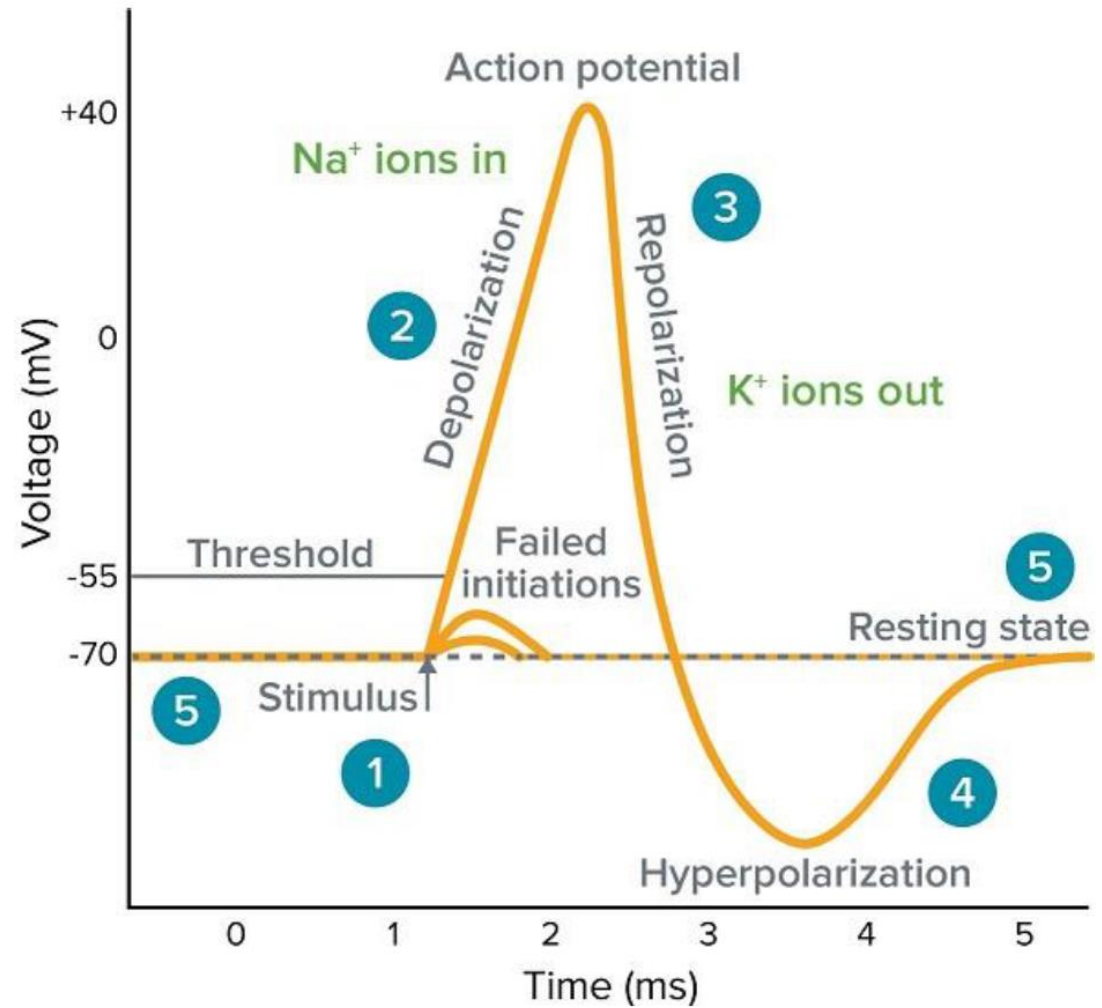
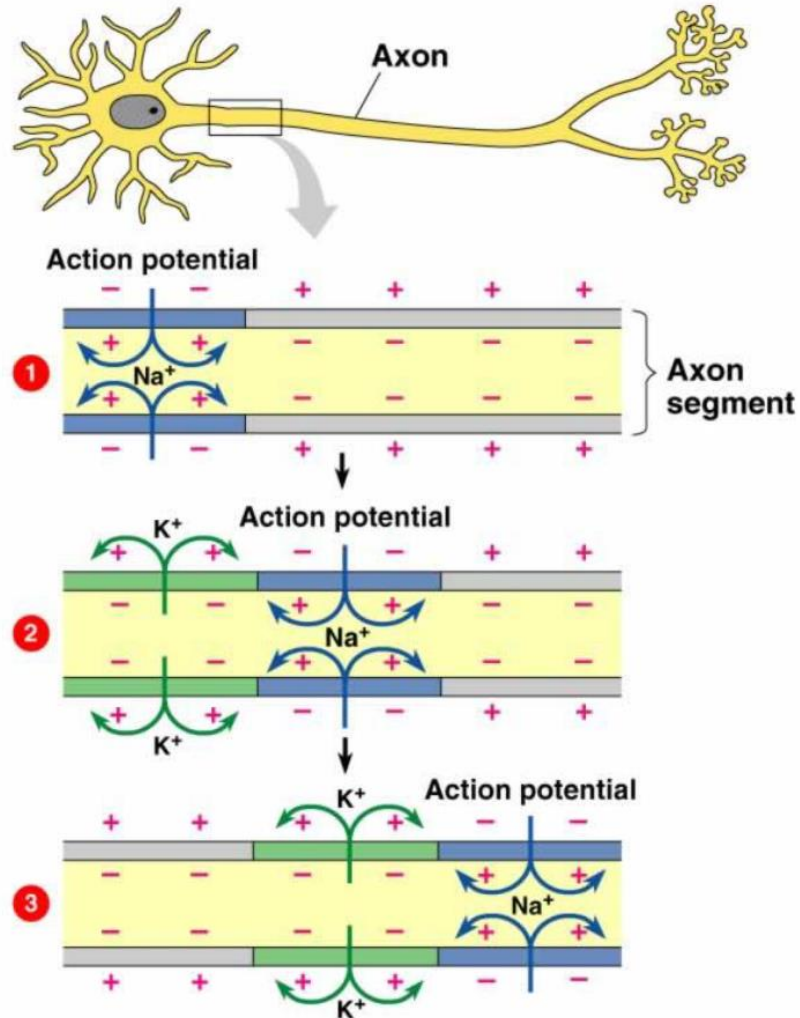


Part 1: Dysbiosis to Repetitive Action Potential

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Motor cortex, UMN, SC, and LMN

Ionic movement during Action Potential



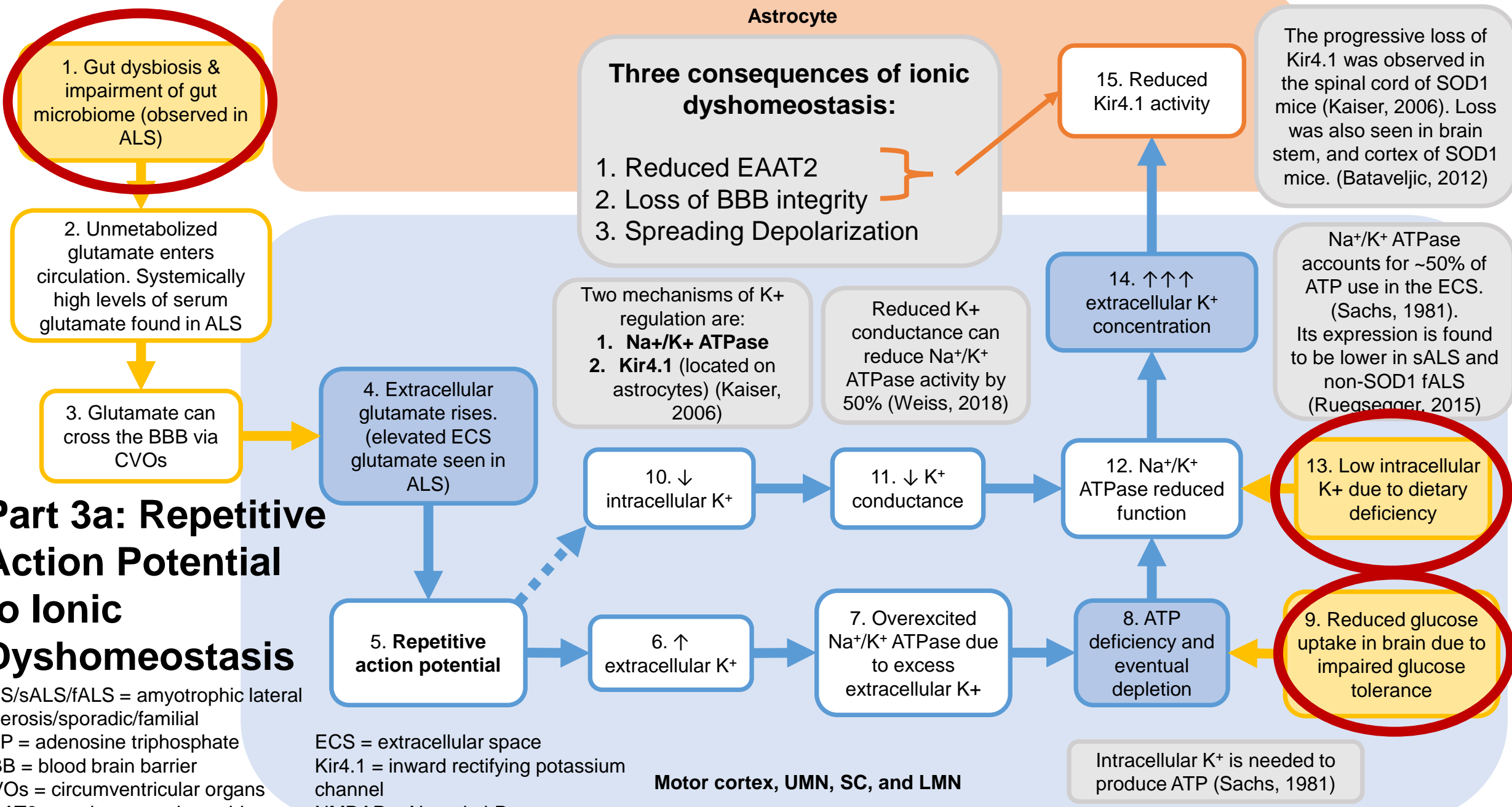
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Part 3a: Repetitive Action Potential to Ionic Dyshomeostasis

ALS/sALS/fALS = amyotrophic lateral sclerosis/sporadic/familial
 ATP = adenosine triphosphate
 BBB = blood brain barrier
 CVOs = circumventricular organs
 EAAT2 = excitatory amino acid transporter 2

ECS = extracellular space
 Kir4.1 = inward rectifying potassium channel
 NMDAR = N-methyl-D-aspartate receptor

Motor cortex, UMN, SC, and LMN

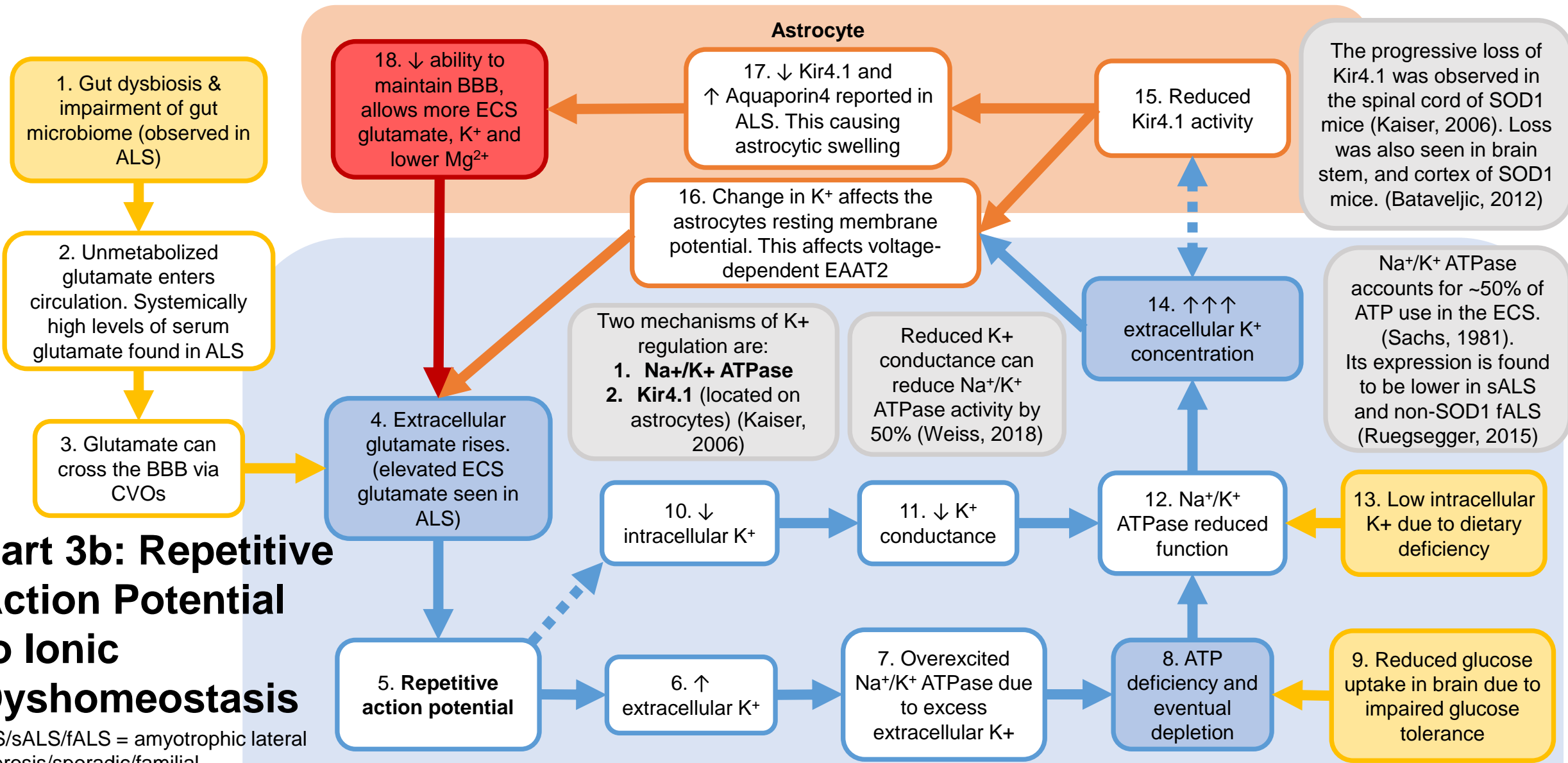


Part 3b: Repetitive Action Potential to Ionic Dyshomeostasis

ALS/sALS/fALS = amyotrophic lateral sclerosis/sporadic/familial
 ATP = adenosine triphosphate
 BBB = blood brain barrier
 CVOs = circumventricular organs
 EAAT2 = excitatory amino acid transporter 2

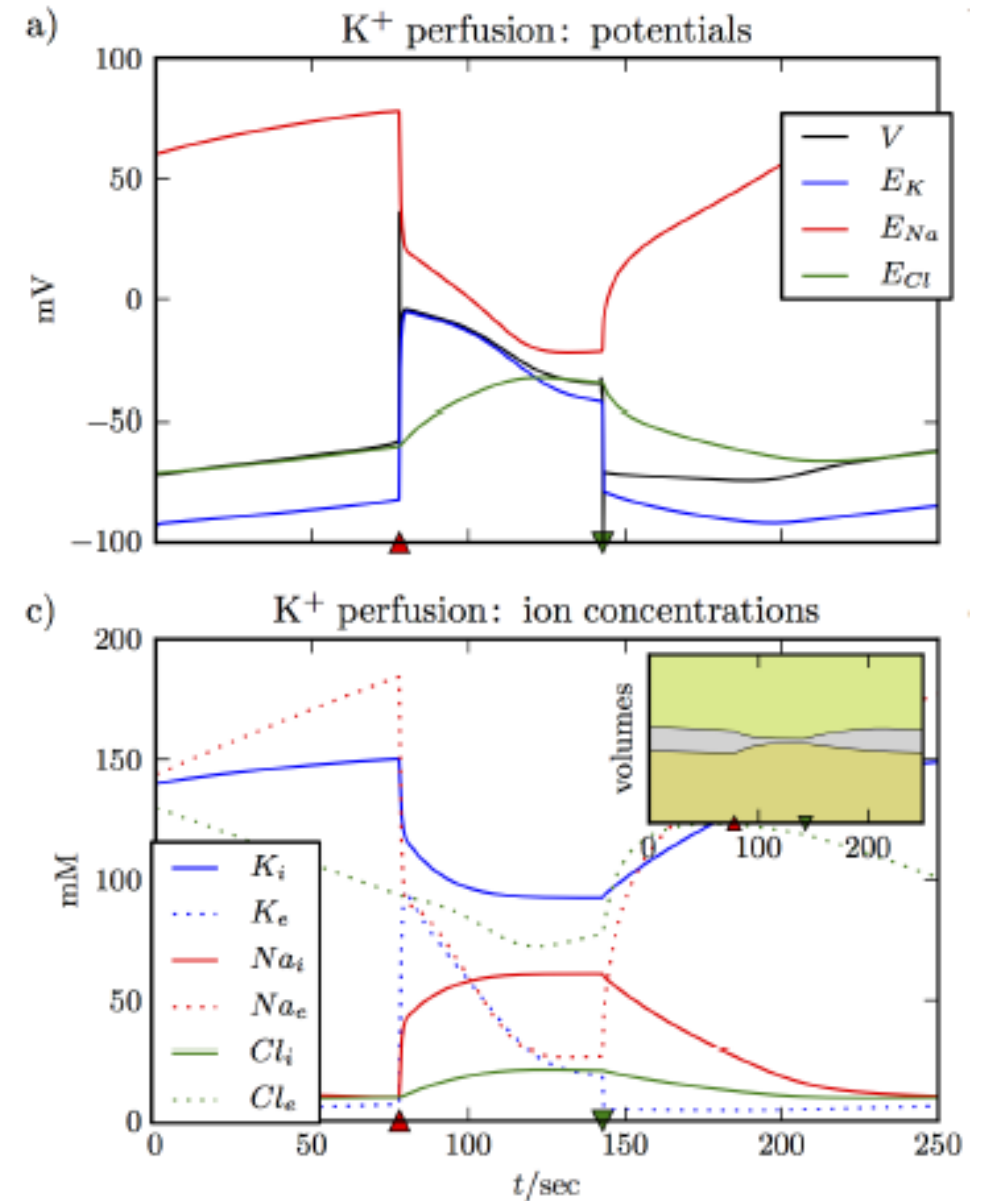
ECS = extracellular space
 Kir4.1 = inward rectifying potassium channel
 NMDAR = N-methyl-D-aspartate receptor

Motor cortex, UMN, SC, and LMN



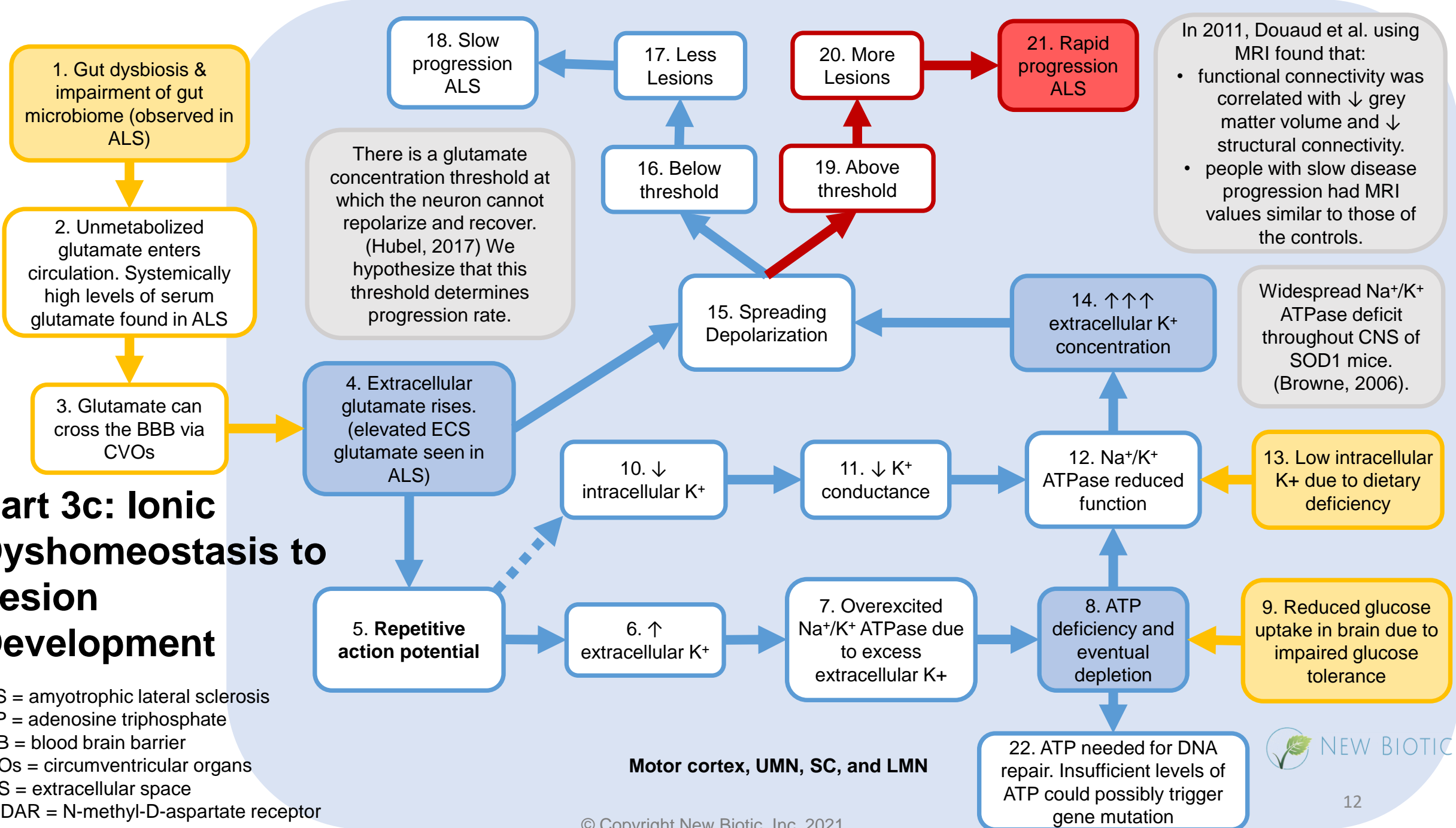
Spreading Depolarization

- Spreading depolarization (SD) is a self-propagating wave in which an individual neuron experiences sustained depolarization and delayed recovery.
- Figure on right shows intracellular Na^+ stays high and K^+ stays low for an extended time (~60 seconds). Indicates delayed recovery.
- Caused by K^+ perfusion
- Exacerbated by extracellular glutamate



Part 3c: Ionic Dyshomeostasis to Lesion Development

ALS = amyotrophic lateral sclerosis
 ATP = adenosine triphosphate
 BBB = blood brain barrier
 CVOs = circumventricular organs
 ECS = extracellular space
 NMDAR = N-methyl-D-aspartate receptor



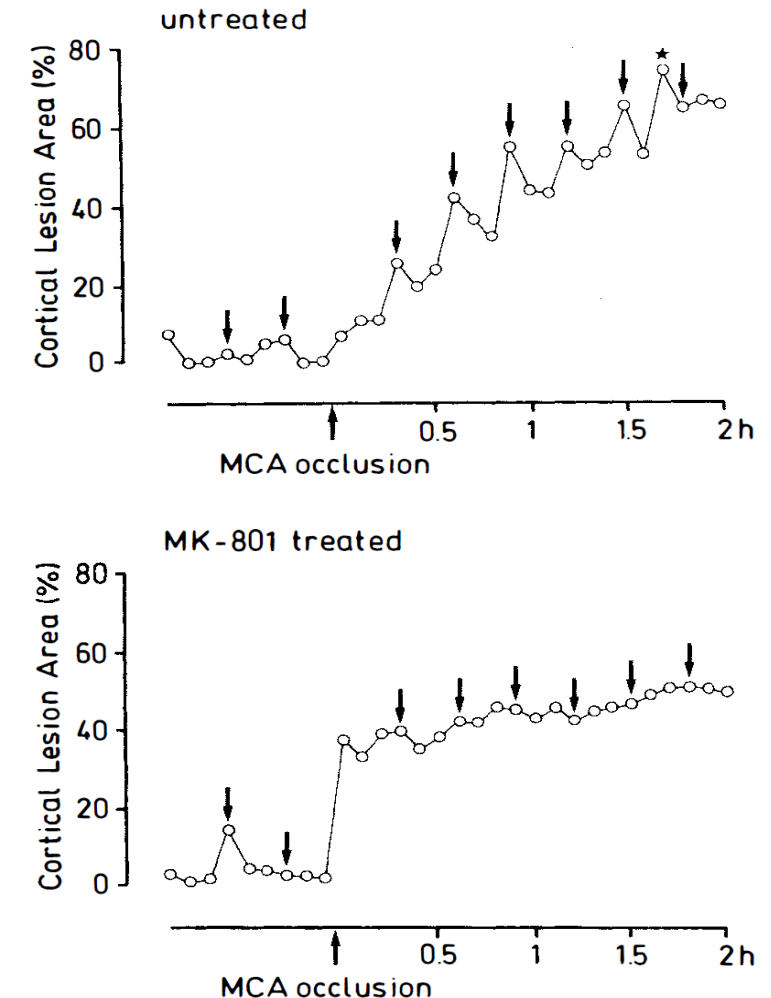
Motor cortex, UMN, SC, and LMN



Lesion Development in Spreading Depolarization (SD)

- SD mediates cortical lesion development and secondary brain damages (Hubel, 2017)
- **Busch, 1996:**
 - Microinjections of potassium acetate evoked cortical SD, which led to sharp increase in lesion size. (Figure)
 - NMDAR antagonist (dizocilpine) prevented:
 - ATP depletion
 - K⁺-evoked SD
 - Infarct growth in all directions
- Suggests glutamate as the primary/upstream cause of SD and lesions?
- Professor Martin Turner was the first to observe grey and white matter lesions in ALS patients

Diffusion-weighted imaging: cortical lesion area



1. Excessive extracellular glutamate from repetitive action potential and spreading depolarization

2. Dysregulation of EAAT2

3. Lack of glutamate clearance from EAAT2 leads to excessive binding of astrocytic NMDAR

7. Production of reactive oxygen species

8. Damaged mitochondrial processes and ability to maintain intracellular Ca²⁺ homeostasis

5. Vesicular release of glutamate from astrocytes into extracellular space

4. Excessive Ca²⁺ influx through astrocytic NMDAR

6. Ca²⁺ is taken up by the mitochondria and depolarizes the mitochondria

9. Necrotic or apoptotic death depending on ATP level

10. Increased BBB permeability

Astrocyte

EAAT2 was decreased in the motor cortex (71%) and spinal cord (~60%) of ALS patients, with a dramatic decrease (90%) in the motor cortex of a quarter of the patients (Rosenblum, 2017).

Even a transient rise in Ca²⁺ in astrocytes induced capillary dilation (Mishra, et al., 2016)

11. Inability to maintain ECS glutamate

Part 3d: Neuronal and Astrocytic Death

ALS = amyotrophic lateral sclerosis
 BBB = blood brain barrier
 EAAT2 = excitatory amino acid transporter 2
 ECS = extracellular space
 NMDAR = N-methyl-D-aspartate receptor

EAAT2 is responsible for ~90% of glutamate clearance from the synapse (Rosenblum, 2017)

13. Overstimulation of neuronal global NMDARs results in neuronal death through the same mechanism of Ca²⁺ influx and ROS production that induced astrocytic death

12. Glutamate binds to global NMDARs of surrounding neurons

Neuron

Extracellular Space

Conclusion

- **In summary:**
 - Gut dysbiosis → Glutamate toxicity → repetitive action potential →
 - a) fasciculation → K⁺ depletion at axon terminals → cramp → metabolic abnormalities → reduced cerebral glucose uptake → reduced ATP generation → ionic dyshomeostasis
 - B) overutilization of Na⁺/K⁺ ATPase → ATP deficiency → K⁺ perfusion → spreading depolarization → lesion
- **This hypothesized pathophysiology suggests:**
 - Gut dysbiosis, dietary potassium deficiency, and metabolic abnormalities may be cause of ALS onset.
 - ALS could be detected early on by examining ionic dyshomeostasis, glutamate toxicity, and earlier (observable) symptoms of ALS
 - ALS heterogeneity may be explained by the extracellular glutamate concentration threshold (with concentrations above the threshold leading to SD lesions and fast progression).

Conclusion

- Currently, diagnostic delay is the main contributor for not being able to treat ALS early. Thus, a new diagnostic protocol is urgently needed to detect ALS at the earliest stage.
- This plausible pathway offers opportunity for a new diagnostic protocol and new ALS treatment at earliest stage before permanent damage to motor neurons (due to dying back process) or ALS gene mutations occur (due to low or depleting ATP level that may indirectly trigger gene mutation).
- **Next steps are to test:**
 - Role of gut dysbiosis/Effect of probiotic treatment in ALS patients
 - Intracellular K^+ and Mg^{2+} in ALS patients
 - Extracellular CNS K^+ , Mg^{2+} , and glutamate in ALS patients
 - Serum glutamate and Cr^{3+} in ALS patients

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